



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

SERIAL NO.	ATTORNEY DOCKET NO.
10/677,636	3337.2.2
FILING DATE October 2, 2003	GROUP ART UNIT 3663
APPLICANT: Daniel R. Cook et al.	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE (MM-DD-YYYY)	NAME OF PATENTEE OR APPLICANT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR FIGURES APPEAR
<i>DR</i>	A1	2002/0059159	05/16/2002	Cook	All

## NON-PATENT DOCUMENTS

EXAMINER INITIAL		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>DR</i>	A2	Steffensen, Scott C. et al., <i>A novel Electroencephalographic Analysis Method Discriminates Alcohol Effects From Those of Other Sedative/Hypnotics</i> , Journal of Neuroscience Methods, 15 April 2002, pages 145-156, Volume 115, Issue 2.

EXAMINER	DATE CONSIDERED <i>01/25/05</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



FORM PTO-1449		SERIAL NO. 10/677,636	ATTORNEY DOCKET NO. 3337.2.2
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)		FILING DATE October 2, 2003	GROUP ART UNIT 3663
		APPLICANT(S): Daniel R. Cook et al.	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
7d	A1	4,883,067	11/28/1989	Knispel et al.	128/732	05/15/1987
an	A2	5,213,338	05/25/1993	Brotz	273/460	09/30/1991
an	A3	5,222,210	06/22/1993	Leivian	395/161	12/03/1990
dk	A4	5,253,332	10/12/1993	Kumamoto	395/51	06/24/1991
an	A5	5,255,347	10/19/1993	Matsuba et al.	395/23	04/23/1991
an	A6	5,299,118	03/29/1994	Martens et al.	364/413.05	09/05/1991
an	A7	5,353,380	10/04/1994	Zhang	395/3	04/19/1993
7x	A8	5,355,435	10/11/1994	DeYong et al.	395/24	05/18/1992
an	A9	5,377,100	12/27/1994	Pope et al.	364/410	03/08/1993
dk	A10	5,379,268	01/03/1995	Hutson	367/100	09/10/1993
an	A11	5,392,210	02/21/1995	Scholz	364/413.01	08/05/1992
7z	A12	5,392,788	02/28/1995	Hudspeth	128/731	02/03/1993
dk	A13	5,402,521	03/28/1995	Niida et al.	395/22	05/19/1992
dk	A14	5,406,957	04/18/1995	Tansey	128/732	09/14/1993
7x	A15	5,434,955	07/18/1995	Kumamoto	395/51	04/19/1993
an	A16	5,444,619	08/22/1995	Hoskins et al.	364/421	09/27/1993
8	A17	5,447,166	09/05/1995	Gevins	128/731	01/19/1994
7z	A18	5,465,321	11/07/1995	Smyth	395/22	04/07/1993
7z	A19	5,470,081	11/28/1995	Sato et al.	273/438	06/28/1993
7z	A20	5,474,082	12/12/1995	Junker	128/732	01/06/1993
7z	A21	5,485,551	01/16/1996	Ejima et al.	395/61	07/26/1991

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>DR</i>	A22	5,515,335	05/07/1996	Swan	367/47	08/16/1993
<i>DR</i>	A23	5,515,477	05/07/1996	Sutherland	395/27	04/22/1992
<i>DR</i>	A24	5,524,176	06/04/1996	Narita et al.	395/22	03/04/1994
<i>DR</i>	A25	5,571,057	11/05/1996	Ayers	463/36	09/16/1994
<i>DR</i>	A26	5,579,439	11/26/1996	Khan	395/11	03/24/1993
<i>DR</i>	A27	5,583,771	12/10/1996	Lynch et al.	364/424.045	08/04/1994
<i>DR</i>	A28	5,585,646	12/17/1996	Kossovsky et al.	257/40	05/23/1995
<i>DR</i>	A29	5,617,513	04/01/1997	Schnitta	395/50	01/04/1995
<i>DR</i>	A30	5,651,100	07/22/1997	Hayashi et al.	395/61	06/12/1991
<i>DR</i>	A31	5,671,333	09/23/1997	Catlett et al.	395/20	04/07/1994
<i>DR</i>	A32	5,687,286	11/11/1997	Bar-Yam	395/2.41	04/14/1995
<i>DR</i>	A33	5,819,242	10/06/1998	Matsuoka et al.	706/2	03/22/1996
<i>DR</i>	A34	6,001,065	12/14/1999	DeVito	600/544	03/21/1996
<i>DR</i>	A35	6,012,017	01/04/2000	Van Bremmel et al.	702/14	08/27/1997
<i>DR</i>	A36	6,035,057	03/07/2000	Hoffman	382/159	03/10/1997
<i>DR</i>	A37	6,546,378	04/08/2003	Cook	706/12	04/24/1997

## FOREIGN PATENT DOCUMENTS

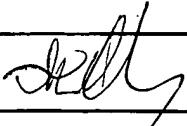
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION
<i>DR</i>	A38	EP 743,217	11/20/1996	_____	_____	
<i>DR</i>	A39	EP 745,843	12/04/1996	_____	_____	
<i>DR</i>	A40	WO 96/38726	12/05/1996	_____	_____	

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## NON-PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT
	A41	J.J. Rajan and P.J.W. Rayner, "Time Series Classification Using the Volterra Connectionist Model and Bayes Decision Theory," IEEE Int'l. Conf. on Acoustics, Speech and Signal Processing, Vol. 1, pp. 601-604, 1993.
	A42	NeuraWare Brochure, "NeuralWorks Professional II/PLUSv5.0, 2 pages (n, late)
	A43	J.J. Rajan and P.J.W. Rayner, "Unsupervised Time Series Classification," Signal Processing, Vol. 46(1), pp. 57-74, 1995.
	A44	Reflection Seismic Date and Geopressure Formations, <a href="http://www.ideo.columbia.edu/GBRN/he/paper/geopressure/seis_geop.html">http://www.ideo.columbia.edu/GBRN/he/paper/geopressure/seis_geop.html</a> , 4 pages, July 14, 2003.

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